

## **Infection Prevention and Control Assessment Tool for Acute Care Hospitals**

This tool is intended to assist in the assessment of infection control programs and practices in acute care hospitals. If feasible, direct observations of infection control practices are encouraged. To facilitate the assessment, health departments are encouraged to share this tool with hospitals in advance of their visit.

### **Overview**

#### **Section 1: Facility Demographics**

#### **Section 2: Infection Control Program and Infrastructure**

#### **Section 3: Direct Observation of Facility Practices (optional)**

#### **Section 4: Infection Control Guidelines and Other Resources**

### **Infection Control Domains for Gap Assessment**

- I. Infection Control Program and Infrastructure
- II. Infection Control Training, Competency, and Implementation of Policies and Practices
  - A. Hand Hygiene
  - B. Personal Protective Equipment (PPE)
  - C. Prevention of Catheter-associated Urinary Tract Infection (CAUTI)
  - D. Prevention of Central Line-associated Bloodstream Infection (CLABSI)
  - E. Prevention of Ventilator-associated Event (VAE)
  - F. Injection Safety
  - G. Prevention of Surgical Site Infection
  - H. Prevention of *Clostridium difficile* Infection (CDI)
  - I. Environmental Cleaning
  - J. Device Reprocessing
- III. Systems to Detect, Prevent, and Respond to Healthcare-Associated Infections and Multidrug-Resistant Organisms (MDROs)

| <b>Section 1. Facility Demographics</b>                        |  |
|--|--|
| Facility Name (for health department use only)                 | Click here to enter text.  |
| NHSN Facility Organization ID (for health department use only) | Click here to enter text.  |
| State-assigned Unique ID                                       | Click here to enter text.  |
| Date of Assessment   | Click here to enter a date.  |
| Type of Assessment   | <input type="checkbox"/> On-site <input type="checkbox"/> Other (specify): Click here to enter text.   |
| Rationale for Assessment (Select all that apply)               | <input type="checkbox"/> Outbreak<br><input type="checkbox"/> Input from accrediting organization or state survey agency<br><input type="checkbox"/> NHSN data<br>If YES, specify: <input type="checkbox"/> CAUTI <input type="checkbox"/> CLABSI <input type="checkbox"/> SSI <input type="checkbox"/> CDI <input type="checkbox"/> Other (specify): Click here to enter text.<br><input type="checkbox"/> Collaborative (specify partner[s]): Click here to enter text.<br><input type="checkbox"/> Other (specify): Click here to enter text. |
| Facility type  | <input type="checkbox"/> Acute Care Hospital <input type="checkbox"/> Critical Access Hospital <input type="checkbox"/> Long-term Acute Care Hospital (LTACH)<br><input type="checkbox"/> Other (specify): Click here to enter text.   |
| Number of Licensed Beds  | Click here to enter text.  |
| Number of Infection Preventionist Full-Time Equivalents        | Click here to enter text.  |

## Section 2: Infection Control Program and Infrastructure

| I. Infection Control Program and Infrastructure  |   |  |
|--|---|--|
| Elements to be assessed  | Assessment  | Notes/Areas for Improvement                                |
| 1. Hospital provides fiscal and human resource support for maintaining the infection prevention and control program.   | <input type="radio"/> Yes <input type="radio"/> No  | Click here to enter text.                                  |
| 2. The person(s) charged with directing the infection prevention and control program at the hospital is/are qualified and trained in infection control.<br><br>Verify qualifications, which should include: (Check all that apply)<br><input type="checkbox"/> Successful completion of initial and recertification exams developed by the Certification Board for Infection Control & Epidemiology (CIC)<br><br>AND/OR<br><input type="checkbox"/> Participation in infection control courses organized by recognized professional societies (e.g., APIC, SHEA) | <input type="radio"/> Yes <input type="radio"/> No  | Click here to enter text.<br><br>Click here to enter text. |
| 3. Infection prevention and control program performs an annual facility infection risk assessment that evaluates and prioritizes potential risks for infections, contamination, and exposures and the program's preparedness to eliminate or mitigate such risks.<br><br><i>Note: Example of Facility Infection Risk Assessment Report and Plan is available in Section 4.</i>   | <input type="radio"/> Yes <input type="radio"/> No  | Click here to enter text.                                  |
| 4. Written infection control policies and procedures are available, current, and based on evidence-based guidelines (e.g., CDC/HICPAC), regulations, or standards.<br><br>Verify the following:<br>a. Respondent can describe the process for reviewing and updating policies (e.g., policies are dated and reviewed annually and when new guidelines are issued)  | <input type="radio"/> Yes <input type="radio"/> No<br><br>a. <input type="radio"/> Yes <input type="radio"/> No | Click here to enter text.<br><br>Click here to enter text. |
| 5. Infection prevention and control program provides infection prevention education to patients, family members, and other caregivers.<br><br>Verify the following:<br>a. Respondent can describe how this education is provided (e.g., information included in the admission or discharge packet, videos, signage, in-person training)  | <input type="radio"/> Yes <input type="radio"/> No<br><br>a. <input type="radio"/> Yes <input type="radio"/> No | Click here to enter text.<br><br>Click here to enter text. |

## II. Infection Control Training, Competency, and Implementation of Policies and Procedures

| Elements to be assessed  | Assessment   | Notes/Areas for Improvement   |
|--|--|---|
| <b>A. Hand Hygiene</b>   |  |   |
| <p>1. Hospital has a competency-based training program for hand hygiene.</p> <p>Verify the following:</p> <ul style="list-style-type: none"> <li>a. Training is provided to all healthcare personnel, including all ancillary personnel not directly involved in patient care but potentially exposed to infectious agents (e.g., food tray handlers, housekeeping, and volunteer personnel).</li> <li>b. Training is provided upon hire, prior to provision of care at this hospital.</li> <li>c. Training is provided at least annually.</li> <li>d. Personnel are required to demonstrate competency with hand hygiene following each training.</li> <li>e. Hospital maintains current documentation of hand hygiene competency for all personnel.</li> </ul> | <p><input type="radio"/> Yes <input type="radio"/> No</p> <p>a. <input type="radio"/> Yes <input type="radio"/> No</p> <p>b. <input type="radio"/> Yes <input type="radio"/> No</p> <p>c. <input type="radio"/> Yes <input type="radio"/> No</p> <p>d. <input type="radio"/> Yes <input type="radio"/> No</p> <p>e. <input type="radio"/> Yes <input type="radio"/> No</p> | <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p> |
| <p>2. Hospital routinely audits (monitors and documents) adherence to hand hygiene.</p> <p>Verify the following:</p> <ul style="list-style-type: none"> <li>a. Respondent can describe process used for audits.</li> <li>b. Respondent can describe frequency of audits.</li> <li>c. Respondent can describe process for improvement when non-adherence is observed.</li> </ul>  | <p><input type="radio"/> Yes <input type="radio"/> No</p> <p>a. <input type="radio"/> Yes <input type="radio"/> No</p> <p>b. <input type="radio"/> Yes <input type="radio"/> No</p> <p>c. <input type="radio"/> Yes <input type="radio"/> No</p>   | <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p>   |
| <p>3. Hospital provides feedback from audits to personnel regarding their hand hygiene performance.</p> <p>Verify the following:</p> <ul style="list-style-type: none"> <li>a. Respondent can describe how feedback is provided.</li> <li>b. Respondent can describe frequency of feedback.</li> </ul>   | <p><input type="radio"/> Yes <input type="radio"/> No</p> <p>a. <input type="radio"/> Yes <input type="radio"/> No</p> <p>b. <input type="radio"/> Yes <input type="radio"/> No</p>  | <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p>  |
| <p>4. Supplies necessary for adherence to hand hygiene (e.g., soap, water, paper towels, alcohol-based hand rub) are readily accessible in patient care areas.</p>   | <p><input type="radio"/> Yes <input type="radio"/> No</p>  | <p>Click here to enter text.</p>  |
| <p>5. Hand hygiene policies promote preferential use of alcohol-based hand rub (ABHR) over soap and water in most clinical situations.</p> <p><i>Note: Soap and water should be used when hands are visibly soiled (e.g., blood, body fluids) and is also preferred after caring for a patient with known or suspected C. difficile or norovirus during an outbreak or if rates of C. difficile infection (CDI) in the facility are persistently high.</i></p>   | <p><input type="radio"/> Yes <input type="radio"/> No</p>  | <p>Click here to enter text.</p>  |

## II. Infection Control Training, Competency, and Implementation of Policies and Procedures

| Elements to be assessed   | Assessment  | Notes/Areas for Improvement   |
|---|---|---|
| <b>B. Personal Protective Equipment (PPE)</b>   |   |   |
| <p>1. Hospital has a competency-based training program for use of personal protective equipment (PPE).<br/>Verify the following:</p> <ul style="list-style-type: none"> <li>a. Training is provided to all personnel who use PPE.</li> <li>b. Training is provided upon hire, prior to provision of care at this hospital.</li> <li>c. Training is provided at least annually.</li> <li>d. Training is provided when new equipment or protocols are introduced.</li> <li>e. Training includes 1) appropriate indications for specific PPE components, 2) proper donning, doffing, adjustment, and wear of PPE, and 3) proper care, maintenance, useful life, and disposal of PPE.</li> <li>f. Personnel are required to demonstrate competency with selection and use of PPE (i.e., correct technique is observed by trainer) following each training.</li> <li>g. Hospital maintains current documentation of PPE competency for all personnel who use PPE.</li> </ul> | <p><input type="radio"/> Yes <input type="radio"/> No</p> <ul style="list-style-type: none"> <li>a. <input type="radio"/> Yes <input type="radio"/> No</li> <li>b. <input type="radio"/> Yes <input type="radio"/> No</li> <li>c. <input type="radio"/> Yes <input type="radio"/> No</li> <li>d. <input type="radio"/> Yes <input type="radio"/> No</li> <li>e. <input type="radio"/> Yes <input type="radio"/> No</li> <li>f. <input type="radio"/> Yes <input type="radio"/> No</li> <li>g. <input type="radio"/> Yes <input type="radio"/> No</li> </ul> | <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p> |
| <p>2. Hospital routinely audits (monitors and documents) adherence to proper PPE selection and use, including donning and doffing.<br/>Verify the following:</p> <ul style="list-style-type: none"> <li>a. Respondent can describe process used for audits.</li> <li>b. Respondent can describe frequency of audits.</li> <li>c. Respondent can describe process for improvement when non-adherence is observed.</li> </ul>   | <p><input type="radio"/> Yes <input type="radio"/> No</p> <ul style="list-style-type: none"> <li>a. <input type="radio"/> Yes <input type="radio"/> No</li> <li>b. <input type="radio"/> Yes <input type="radio"/> No</li> <li>c. <input type="radio"/> Yes <input type="radio"/> No</li> </ul>   | <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p>   |
| <p>3. Hospital provides feedback to personnel regarding their performance with selection and use of PPE.<br/>Verify the following:</p> <ul style="list-style-type: none"> <li>a. Respondent can describe how feedback is provided.</li> <li>b. Respondent can describe frequency of feedback.</li> </ul>  | <p><input type="radio"/> Yes <input type="radio"/> No</p> <ul style="list-style-type: none"> <li>a. <input type="radio"/> Yes <input type="radio"/> No</li> <li>b. <input type="radio"/> Yes <input type="radio"/> No</li> </ul>  | <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p>  |
| <p>4. Supplies necessary for adherence to personal protective equipment recommendations specified under Standard and Transmission-based Precautions (e.g., gloves, gowns, mouth, eye, nose, and face protection) are available and located near point of use.</p>   | <p><input type="radio"/> Yes <input type="radio"/> No</p>   | <p>Click here to enter text.</p>  |
| <p>5. The facility respiratory protection program provides employees protection from recognized hazards.<br/>Verify the following:</p> <ul style="list-style-type: none"> <li>a. Annual fit testing of respirators is provided for all personnel who are anticipated to require respiratory protection.</li> <li>b. Supplies of respiratory protection devices (e.g., powered air purifying respirators) are maintained for those who cannot be fitted.</li> <li>c. Employees are educated about conditions that may compromise proper fit and function of respiratory devices (e.g., weight gain/loss, facial hair).</li> </ul>  | <p><input type="radio"/> Yes <input type="radio"/> No</p> <ul style="list-style-type: none"> <li>a. <input type="radio"/> Yes <input type="radio"/> No</li> <li>b. <input type="radio"/> Yes <input type="radio"/> No</li> <li>c. <input type="radio"/> Yes <input type="radio"/> No</li> </ul>   | <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p>   |

## II. Infection Control Training, Competency, and Implementation of Policies and Procedures

| Elements to be assessed  | Assessment   | Notes/Areas for Improvement  |
|--|--|--|
| C. Prevention of Catheter-associated Urinary Tract Infection (CAUTI)   |  |  |
| 1. Hospital has physician and/or nurse champions for CAUTI prevention activities.  | <input type="radio"/> Yes <input type="radio"/> No   | Click here to enter text.  |
| 2. Hospital has a competency-based training program for <b>insertion</b> of urinary catheters.<br><br>Verify the following: <ol style="list-style-type: none"> <li>Training is provided to all personnel who are given responsibility for insertion of urinary catheters. <i>Personnel</i> may include, but are not limited to, nurses, nursing assistants, medical assistants, technicians, and physicians.</li> <li>Training is provided upon hire, prior to being allowed to perform urinary catheter insertion.</li> <li>Training is provided at least annually.</li> <li>Training is provided when new equipment or protocols are introduced.</li> <li>Personnel are required to demonstrate competency with insertion (i.e., correct technique is observed by trainer) following each training.</li> <li>Hospital maintains current documentation of competency with urinary catheter insertion for all personnel who insert urinary catheters.</li> </ol> | <input type="radio"/> Yes <input type="radio"/> No<br><br>a. <input type="radio"/> Yes <input type="radio"/> No<br><br>b. <input type="radio"/> Yes <input type="radio"/> No<br><br>c. <input type="radio"/> Yes <input type="radio"/> No<br><br>d. <input type="radio"/> Yes <input type="radio"/> No<br><br>e. <input type="radio"/> Yes <input type="radio"/> No<br><br>f. <input type="radio"/> Yes <input type="radio"/> No | Click here to enter text.<br><br>Click here to enter text.<br><br>Click here to enter text.<br><br>Click here to enter text.<br><br>Click here to enter text.<br><br>Click here to enter text. |
| 3. Hospital routinely audits (monitors and documents) adherence to recommended practices for <b>insertion</b> of urinary catheters.<br><br>Verify the following: <ol style="list-style-type: none"> <li>Respondent can describe process used for audits.</li> <li>Respondent can describe frequency of audits.</li> <li>Respondent can describe process for improvement when non-adherence is observed.</li> </ol>   | <input type="radio"/> Yes <input type="radio"/> No<br><br>a. <input type="radio"/> Yes <input type="radio"/> No<br><br>b. <input type="radio"/> Yes <input type="radio"/> No<br><br>c. <input type="radio"/> Yes <input type="radio"/> No  | Click here to enter text.<br><br>Click here to enter text.<br><br>Click here to enter text.  |
| 4. Hospital provides feedback from audits to personnel regarding their performance for <b>insertion</b> of urinary catheters.<br><br>Verify the following: <ol style="list-style-type: none"> <li>Respondent can describe how feedback is provided.</li> <li>Respondent can describe frequency of feedback.</li> </ol>   | <input type="radio"/> Yes <input type="radio"/> No<br><br>a. <input type="radio"/> Yes <input type="radio"/> No<br><br>b. <input type="radio"/> Yes <input type="radio"/> No   | Click here to enter text.<br><br>Click here to enter text.<br><br>Click here to enter text.  |

## II. Infection Control Training, Competency, and Implementation of Policies and Procedures

| Elements to be assessed   | Assessment  | Notes/Areas for Improvement   |
|---|---|---|
| C. Prevention of Catheter-associated Urinary Tract Infection (CAUTI), continued   |   |   |
| <p>5. Hospital has a competency-based training program for <b><u>maintenance</u></b> of urinary catheters.</p> <p>Verify the following:</p> <ul style="list-style-type: none"> <li>a. Training is provided to all personnel who are given responsibility for urinary catheter maintenance (e.g., perineal care, emptying the drainage bag aseptically, maintaining the closed drainage system, maintaining unobstructed urine flow). Personnel may include, but are not limited to, nurses, nursing assistants, medical assistants, technicians, and transport personnel.</li> <li>b. Training is provided upon hire, prior to being allowed to perform urinary catheter maintenance.</li> <li>c. Training is provided at least annually.</li> <li>d. Training is provided when new equipment or protocols are introduced.</li> <li>e. Personnel are required to demonstrate competency with catheter maintenance (i.e., correct technique is observed by trainer) following each training.</li> <li>f. Hospital maintains current documentation of competency with urinary catheter maintenance for all personnel who maintain urinary catheters.</li> </ul> | <p><input type="radio"/> Yes <input type="radio"/> No</p> <p>a. <input type="radio"/> Yes <input type="radio"/> No</p> <p>b. <input type="radio"/> Yes <input type="radio"/> No</p> <p>c. <input type="radio"/> Yes <input type="radio"/> No</p> <p>d. <input type="radio"/> Yes <input type="radio"/> No</p> <p>e. <input type="radio"/> Yes <input type="radio"/> No</p> <p>f. <input type="radio"/> Yes <input type="radio"/> No</p> | <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p> |
| <p>6. Hospital routinely audits (monitors and documents) adherence to recommended practices for <b><u>maintenance</u></b> of urinary catheters.</p> <p>Verify the following:</p> <ul style="list-style-type: none"> <li>a. Respondent can describe process used for audits.</li> <li>b. Respondent can describe frequency of audits.</li> <li>c. Respondent can describe process for improvement when non-adherence is observed.</li> </ul>   | <p><input type="radio"/> Yes <input type="radio"/> No</p> <p>a. <input type="radio"/> Yes <input type="radio"/> No</p> <p>b. <input type="radio"/> Yes <input type="radio"/> No</p> <p>c. <input type="radio"/> Yes <input type="radio"/> No</p>  | <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p>   |
| <p>7. Hospital provides feedback from audits to personnel regarding their performance for <b><u>maintenance</u></b> of urinary catheters.</p> <p>Verify the following:</p> <ul style="list-style-type: none"> <li>a. Respondent can describe how feedback is provided.</li> <li>b. Respondent can describe frequency of feedback.</li> </ul>  | <p><input type="radio"/> Yes <input type="radio"/> No</p> <p>a. <input type="radio"/> Yes <input type="radio"/> No</p> <p>b. <input type="radio"/> Yes <input type="radio"/> No</p>   | <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p>  |
| <p>8. Patients with urinary catheters are assessed, at least daily, for continued need for the catheter.</p> <p>Verify the following:</p> <ul style="list-style-type: none"> <li>a. Respondent can describe methods used to trigger the daily assessments (e.g., patient safety checklist, daily rounds, nurse directed protocol, reminders, or stop orders).</li> <li>b. Hospital routinely audits adherence to daily assessment of urinary catheter need.</li> </ul>  | <p><input type="radio"/> Yes <input type="radio"/> No</p> <p>a. <input type="radio"/> Yes <input type="radio"/> No</p> <p>b. <input type="radio"/> Yes <input type="radio"/> No</p>   | <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p>  |

II. Infection Control Training, Competency, and Implementation of Policies and Procedures

| Elements to be assessed  | Assessment  | Notes/Areas for Improvement  |
|--|---|--|
| C. Prevention of Catheter-associated Urinary Tract Infection (CAUTI), continued  |   |  |
| <p>9. Hospital monitors CAUTI data and uses it to direct prevention activities.</p> <p>Verify the following:</p> <ul style="list-style-type: none"> <li>a. Respondent is familiar with National Healthcare Safety Network (NHSN) CAUTI data.</li> <li>b. Respondent can describe how CAUTI data are used to direct prevention activities.</li> </ul> | <p><input type="radio"/> Yes <input type="radio"/> No</p> <p>a. <input type="radio"/> Yes <input type="radio"/> No</p> <p>b. <input type="radio"/> Yes <input type="radio"/> No</p> | <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p> |
| <p>10. Hospital provides feedback of CAUTI data to frontline personnel.</p> <p>Verify the following:</p> <ul style="list-style-type: none"> <li>a. Respondent can describe how feedback is provided.</li> <li>b. Respondent can describe frequency of feedback.</li> </ul>   | <p><input type="radio"/> Yes <input type="radio"/> No</p> <p>a. <input type="radio"/> Yes <input type="radio"/> No</p> <p>b. <input type="radio"/> Yes <input type="radio"/> No</p> | <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p> |



| II. Infection Control Training, Competency, and Implementation of Policies and Procedures  |   |   |
|--|---|---|
| Elements to be assessed  | Assessment  | Notes/Areas for Improvement   |
| D. Prevention of Central line-associated Bloodstream Infection (CLABSI)  |   |   |
| 1. Hospital has physician and/or nurse champions for CLABSI prevention activities.   | <input type="radio"/> Yes <input type="radio"/> No  | <a href="#">Click here to enter text.</a>   |
| 2. Hospital has a competency-based training program for <b>insertion</b> of central venous catheters.<br><br>Verify the following: <ol style="list-style-type: none"> <li>Training is provided to all personnel who are given responsibility for insertion of central venous catheters. Personnel may include, but are not limited to, physicians, physician assistants, and members of line insertion teams.</li> <li>Training is provided upon hire, prior to being allowed to perform central venous catheter insertion.</li> <li>Training is provided at least annually.</li> <li>Training is provided when new equipment or protocols are introduced.</li> <li>Personnel are required to demonstrate competency with insertion (i.e., correct technique is observed by trainer) following each training.</li> <li>Hospital maintains current documentation of competency with central venous catheter insertion for all personnel who insert central venous catheters.</li> </ol> | <input type="radio"/> Yes <input type="radio"/> No<br><input type="radio"/> Not Applicable<br>(Check if hospital does not insert central lines and move to #5) <ol style="list-style-type: none"> <li><input type="radio"/> Yes <input type="radio"/> No</li> <li><input type="radio"/> Yes <input type="radio"/> No</li> <li><input type="radio"/> Yes <input type="radio"/> No</li> <li><input type="radio"/> Yes <input type="radio"/> No</li> <li><input type="radio"/> Yes <input type="radio"/> No</li> <li><input type="radio"/> Yes <input type="radio"/> No</li> </ol> | <a href="#">Click here to enter text.</a><br><br><a href="#">Click here to enter text.</a><br><br><a href="#">Click here to enter text.</a><br><br><a href="#">Click here to enter text.</a><br><br><a href="#">Click here to enter text.</a><br><br><a href="#">Click here to enter text.</a><br><br><a href="#">Click here to enter text.</a> |
| 3. Hospital routinely audits (monitors and documents) adherence to recommended practices for <b>insertion</b> of central venous catheters.<br><br>Verify the following: <ol style="list-style-type: none"> <li>Respondent can describe process used for audits.</li> <li>Respondent can describe frequency of audits.</li> <li>Respondent can describe process for improvement when non-adherence is observed.</li> </ol>  | <input type="radio"/> Yes <input type="radio"/> No <ol style="list-style-type: none"> <li><input type="radio"/> Yes <input type="radio"/> No</li> <li><input type="radio"/> Yes <input type="radio"/> No</li> <li><input type="radio"/> Yes <input type="radio"/> No</li> </ol>   | <a href="#">Click here to enter text.</a><br><br><a href="#">Click here to enter text.</a><br><br><a href="#">Click here to enter text.</a>   |
| 4. Hospital provides feedback from audits to personnel regarding their performance for <b>insertion</b> of central venous catheters.<br><br>Verify the following: <ol style="list-style-type: none"> <li>Respondent can describe how feedback is provided.</li> <li>Respondent can describe frequency of feedback.</li> </ol>  | <input type="radio"/> Yes <input type="radio"/> No <ol style="list-style-type: none"> <li><input type="radio"/> Yes <input type="radio"/> No</li> <li><input type="radio"/> Yes <input type="radio"/> No</li> </ol>   | <a href="#">Click here to enter text.</a><br><br><a href="#">Click here to enter text.</a><br><br><a href="#">Click here to enter text.</a>   |

II. Infection Control Training, Competency, and Implementation of Policies and Procedures

| Elements to be assessed  | Assessment  | Notes/Areas for Improvement   |
|--|---|---|
| D. Prevention of Central line-associated Bloodstream Infection (CLABSI), continued   |   |   |
| <p>5. Hospital has a competency-based training program for <b><u>maintenance</u></b> of central venous catheters.</p> <p>Verify the following:</p> <ul style="list-style-type: none"> <li>a. Training is provided to all personnel who maintain central venous catheters (e.g., scrub the hub, accessing the catheter, dressing changes). Personnel may include, but are not limited to, nurses, nursing assistants, physicians, and physician assistants.</li> <li>b. Training is provided upon hire, prior to being allowed to perform central venous catheter maintenance.</li> <li>c. Training is provided at least annually.</li> <li>d. Training is provided when new equipment or protocols are introduced.</li> <li>e. Personnel are required to demonstrate competency with maintenance (i.e., correct technique is observed by trainer) following each training.</li> <li>f. Hospital maintains current documentation of competency with central venous catheter maintenance for all personnel who maintain central venous catheters.</li> </ul> | <p><input type="radio"/> Yes <input type="radio"/> No</p> <p>a. <input type="radio"/> Yes <input type="radio"/> No</p> <p>b. <input type="radio"/> Yes <input type="radio"/> No</p> <p>c. <input type="radio"/> Yes <input type="radio"/> No</p> <p>d. <input type="radio"/> Yes <input type="radio"/> No</p> <p>e. <input type="radio"/> Yes <input type="radio"/> No</p> <p>f. <input type="radio"/> Yes <input type="radio"/> No</p> | <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p> |
| <p>6. Hospital routinely audits (monitors and documents) adherence to recommended practices for <b><u>maintenance</u></b> of central venous catheters.</p> <p>Verify the following:</p> <ul style="list-style-type: none"> <li>a. Respondent can describe process used for audits.</li> <li>b. Respondent can describe frequency of audits.</li> <li>c. Respondent can describe process for improvement when non-adherence is observed.</li> </ul>   | <p><input type="radio"/> Yes <input type="radio"/> No</p> <p>a. <input type="radio"/> Yes <input type="radio"/> No</p> <p>b. <input type="radio"/> Yes <input type="radio"/> No</p> <p>c. <input type="radio"/> Yes <input type="radio"/> No</p>  | <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p>   |
| <p>7. Hospital provides feedback from audits to personnel regarding their performance for <b><u>maintenance</u></b> of central venous catheters.</p> <p>Verify the following:</p> <ul style="list-style-type: none"> <li>a. Respondent can describe how feedback is provided.</li> <li>b. Respondent can describe frequency of feedback.</li> </ul>  | <p><input type="radio"/> Yes <input type="radio"/> No</p> <p>a. <input type="radio"/> Yes <input type="radio"/> No</p> <p>b. <input type="radio"/> Yes <input type="radio"/> No</p>   | <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p>  |
| <p>8. Patients with central venous catheters are assessed, at least daily, for continued need for the catheter.</p> <p>Verify the following:</p> <ul style="list-style-type: none"> <li>a. Respondent can describe methods used to trigger the daily assessments (e.g., patient safety checklist, daily rounds, and reminders).</li> <li>b. Hospital routinely audits adherence to daily assessment of central venous catheter need.</li> </ul>  | <p><input type="radio"/> Yes <input type="radio"/> No</p> <p>a. <input type="radio"/> Yes <input type="radio"/> No</p> <p>b. <input type="radio"/> Yes <input type="radio"/> No</p>   | <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p>  |

II. Infection Control Training, Competency, and Implementation of Policies and Procedures

| Elements to be assessed   | Assessment  | Notes/Areas for Improvement  |
|---|---|--|
| D. Prevention of Central line-associated Bloodstream Infection (CLABSI), continued  |   |  |
| <p>9. Hospital monitors CLABSI data and uses it to direct prevention activities.</p> <p>Verify the following:</p> <ul style="list-style-type: none"> <li>a. Respondent is familiar with National Healthcare Safety network (NHSN) CLABSI data.</li> <li>b. Respondent can describe how CLABSI data are used to direct prevention activities.</li> </ul> | <p><input type="radio"/> Yes <input type="radio"/> No</p> <p>a. <input type="radio"/> Yes <input type="radio"/> No</p> <p>b. <input type="radio"/> Yes <input type="radio"/> No</p> | <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p> |
| <p>10. Hospital provides feedback of CLABSI data to frontline personnel.</p> <p>Verify the following:</p> <ul style="list-style-type: none"> <li>a. Respondent can describe how feedback is provided.</li> <li>b. Respondent can describe frequency of feedback.</li> </ul>   | <p><input type="radio"/> Yes <input type="radio"/> No</p> <p>a. <input type="radio"/> Yes <input type="radio"/> No</p> <p>b. <input type="radio"/> Yes <input type="radio"/> No</p> | <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p> |

II. Infection Control Training, Competency, and Implementation of Policies and Procedures

| Elements to be assessed  | Assessment  | Notes/Areas for Improvement   |
|--|---|---|
| E. Prevention of Ventilator-associated Event (VAE)   |   |   |
| <p>1. Hospital has physician and/or nurse champions for VAE prevention activities.</p>   | <p><input type="radio"/> Yes <input type="radio"/> No<br/> <input type="radio"/> Not Applicable<br/>                     (Check if facility does not provide care to ventilated patients and move to item F. Injection Safety)</p>  | <p>Click here to enter text.</p>  |
| <p>2. Hospital has a competency-based training program addressing prevention of VAEs.</p> <p>Verify the following:</p> <p>a. Training is provided to all personnel who provide respiratory therapy for ventilated patients (e.g., suctioning, administration of aerosolized medications). Personnel may include, but are not limited to, respiratory therapists and nurses.</p> <p>b. Training is provided upon hire, prior to being allowed to provide respiratory therapy for ventilated patients.</p> <p>c. Training is provided at least annually.</p> <p>d. Training is provided when new equipment or protocols are introduced.</p> <p>e. Personnel are required to demonstrate competency with respiratory therapy practices (i.e., correct technique is observed by trainer) following each training.</p> <p>f. Hospital maintains current documentation of competency with respiratory practices for all personnel who provide respiratory therapy for ventilated patients.</p> | <p><input type="radio"/> Yes <input type="radio"/> No</p> <p>a. <input type="radio"/> Yes <input type="radio"/> No</p> <p>b. <input type="radio"/> Yes <input type="radio"/> No</p> <p>c. <input type="radio"/> Yes <input type="radio"/> No</p> <p>d. <input type="radio"/> Yes <input type="radio"/> No</p> <p>e. <input type="radio"/> Yes <input type="radio"/> No</p> <p>f. <input type="radio"/> Yes <input type="radio"/> No</p> | <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p> |
| <p>3. Hospital routinely audits (monitors and documents) adherence to recommended practices for management of ventilated patients (e.g., suctioning, administration of aerosolized medications).</p> <p>Verify the following:</p> <p>a. Respondent can describe process used for audits.</p> <p>b. Respondent can describe frequency of audits.</p> <p>c. Respondent can describe process for improvement when non-adherence is observed.</p>  | <p><input type="radio"/> Yes <input type="radio"/> No</p> <p>a. <input type="radio"/> Yes <input type="radio"/> No</p> <p>b. <input type="radio"/> Yes <input type="radio"/> No</p> <p>c. <input type="radio"/> Yes <input type="radio"/> No</p>  | <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p>  |
| <p>4. Hospital provides feedback from audits to personnel regarding their performance for management of ventilated patients.</p> <p>Verify the following:</p> <p>a. Respondent can describe how feedback is provided.</p> <p>b. Respondent can describe frequency of feedback.</p>   | <p><input type="radio"/> Yes <input type="radio"/> No</p> <p>a. <input type="radio"/> Yes <input type="radio"/> No</p> <p>b. <input type="radio"/> Yes <input type="radio"/> No</p>   | <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p>  |

## II. Infection Control Training, Competency, and Implementation of Policies and Procedures

| Elements to be assessed   | Assessment   | Notes/Areas for Improvement   |
|---|--|---|
| E. Prevention of Ventilator-associated Event (VAE), continued   |  |   |
| <p>5. Patients requiring invasive ventilation are assessed, at least daily, for continued need for the ventilator.</p> <p>Verify the following:</p> <ul style="list-style-type: none"> <li>a. Respondent can describe methods used to trigger the daily assessments (e.g., patient safety checklist, daily rounds, reminders)</li> <li>b. Hospital routinely audits (monitors and documents) adherence to daily assessment of ventilator need.</li> </ul>   | <p><input type="radio"/> Yes <input type="radio"/> No</p> <p>a. <input type="radio"/> Yes <input type="radio"/> No</p> <p>b. <input type="radio"/> Yes <input type="radio"/> No</p>  | <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p>                                  |
| <p>6. Hospital has a program that includes daily spontaneous breathing trials and lightening of sedation in eligible patient.</p>   | <p><input type="radio"/> Yes <input type="radio"/> No</p>  | <p>Click here to enter text.</p>  |
| <p>7. Hospital has an oral-hygiene program.</p>   | <p><input type="radio"/> Yes <input type="radio"/> No</p>  | <p>Click here to enter text.</p>  |
| <p>8. Hospital monitors VAE data and uses it to direct prevention activities.</p> <p>Verify the following:</p> <ul style="list-style-type: none"> <li>a. Respondent can describe how VAE data are used to direct prevention activities.</li> </ul> <p>If the hospital reports VAE data to NHSN, verify the following:</p> <ul style="list-style-type: none"> <li>b. Respondent is familiar with NHSN VAE data.</li> </ul> <p>If the hospital does not report VAE data to NHSN, verify the following:</p> <ul style="list-style-type: none"> <li>c. Respondent can describe how VAE data are collected.</li> </ul> | <p><input type="radio"/> Yes <input type="radio"/> No</p> <p>a. <input type="radio"/> Yes <input type="radio"/> No</p> <p>b. <input type="radio"/> Yes <input type="radio"/> No<br/>Not Applicable <input type="radio"/></p> <p>c. <input type="radio"/> Yes <input type="radio"/> No<br/>Not Applicable <input type="radio"/></p> | <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p> |
| <p>9. Hospital provides feedback of VAE data to frontline personnel.</p> <p>Verify the following:</p> <ul style="list-style-type: none"> <li>a. Respondent can describe how feedback is provided.</li> <li>b. Respondent can describe frequency of feedback.</li> </ul>   | <p><input type="radio"/> Yes <input type="radio"/> No</p> <p>a. <input type="radio"/> Yes <input type="radio"/> No</p> <p>b. <input type="radio"/> Yes <input type="radio"/> No</p>  | <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p>                                  |

## II. Infection Control Training, Competency, and Implementation of Policies and Procedures

| Elements to be assessed  | Assessment   | Notes/Areas for Improvement   |
|--|--|---|
| F. Injection Safety (This element does not include assessment of pharmacy practices)   |  |   |
| <p>1. Hospital has a competency-based training program for preparation and administration of parenteral medications (e.g., SQ, IM, and IV) outside of the pharmacy.</p> <p>Verify the following:</p> <ul style="list-style-type: none"> <li>a. Training is provided to all personnel who prepare and/or administer injections and parenteral infusions.</li> <li>b. Training is provided upon hire, prior to being allowed to prepare and/or administer injections and parenteral infusions.</li> <li>c. Training is provided at least annually.</li> <li>d. Training is provided when new equipment or protocols are introduced.</li> <li>e. Personnel are required to demonstrate competency with preparation and/or administration of injections and parenteral infusions following each training.</li> <li>f. Hospital maintains current documentation of competency with preparation and/or administration procedures for all personnel who prepare and/or administer injections and parenteral infusions.</li> </ul> | <p><input type="radio"/> Yes <input type="radio"/> No</p> <ul style="list-style-type: none"> <li>a. <input type="radio"/> Yes <input type="radio"/> No</li> <li>b. <input type="radio"/> Yes <input type="radio"/> No</li> <li>c. <input type="radio"/> Yes <input type="radio"/> No</li> <li>d. <input type="radio"/> Yes <input type="radio"/> No</li> <li>e. <input type="radio"/> Yes <input type="radio"/> No</li> <li>f. <input type="radio"/> Yes <input type="radio"/> No</li> </ul> | <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p> |
| <p>2. Hospital routinely audits (monitors and documents) adherence to safe injection practices.</p> <p>Verify the following:</p> <ul style="list-style-type: none"> <li>a. Respondent can describe process used for audits.</li> <li>b. Respondent can describe frequency of audits.</li> <li>c. Respondent can describe process for improvement when non-adherence is observed.</li> </ul>  | <p><input type="radio"/> Yes <input type="radio"/> No</p> <ul style="list-style-type: none"> <li>a. <input type="radio"/> Yes <input type="radio"/> No</li> <li>b. <input type="radio"/> Yes <input type="radio"/> No</li> <li>c. <input type="radio"/> Yes <input type="radio"/> No</li> </ul>  | <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p>   |
| <p>3. Hospital provides feedback from audits to personnel regarding their adherence to safe injection practices.</p> <p>Verify the following:</p> <ul style="list-style-type: none"> <li>a. Respondent can describe how feedback is provided.</li> <li>b. Respondent can describe frequency of feedback.</li> </ul>  | <p><input type="radio"/> Yes <input type="radio"/> No</p> <ul style="list-style-type: none"> <li>a. <input type="radio"/> Yes <input type="radio"/> No</li> <li>b. <input type="radio"/> Yes <input type="radio"/> No</li> </ul>   | <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p>  |
| <p>4. Hospital has a drug diversion prevention program that includes consultation with the IP program when drug tampering (involving alteration or substitution) is suspected or identified to assess patient safety risks.</p> <p>Verify the following:</p> <ul style="list-style-type: none"> <li>a. Respondent can describe how the hospital would assess risk to patients if tampering is suspected or identified.</li> </ul>  | <p><input type="radio"/> Yes <input type="radio"/> No</p> <ul style="list-style-type: none"> <li>a. <input type="radio"/> Yes <input type="radio"/> No</li> </ul>  | <p>Click here to enter text.</p> <p>Click here to enter text.</p>   |

II. Infection Control Training, Competency, and Implementation of Policies and Procedures

| Elements to be assessed  | Assessment   | Notes/Areas for Improvement   |
|--|--|---|
| G. Prevention of Surgical Site Infection (SSI)   |  |   |
| <p>1. Hospital has a program to improve surgical care.</p> <p>Verify the following:<br/>The program to improve surgical care addresses appropriate prophylactic antibiotic use including:</p> <ul style="list-style-type: none"> <li>a. Preoperative timing of prophylactic antibiotic administration (within 1 hour prior to incision or 2 hours for vancomycin or fluoroquinolones).</li> <li>b. Appropriate prophylactic antibiotic selection based on procedure type.</li> <li>c. Discontinuation of prophylactic antibiotics within 24 hours (48 hours for CABG or other cardiac surgery) after surgical end time.</li> <li>d. The program to improve surgical care addresses prompt removal of urinary catheter on post-op day 1 or 2, unless there is a documented appropriate reason for continued use.</li> </ul> | <p><input type="radio"/> Yes <input type="radio"/> No</p> <p><input type="radio"/> Not Applicable<br/>(Check if facility does not perform surgeries and move to item H. <i>Clostridium difficile</i> Infection)</p> <ul style="list-style-type: none"> <li>a. <input type="radio"/> Yes <input type="radio"/> No</li> <li>b. <input type="radio"/> Yes <input type="radio"/> No</li> <li>c. <input type="radio"/> Yes <input type="radio"/> No</li> <li>d. <input type="radio"/> Yes <input type="radio"/> No</li> </ul> | <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p> |
| <p>2. Hospital routinely audits (monitors and documents) adherence to elements of program to improve surgical care.</p> <p>Verify the following:</p> <ul style="list-style-type: none"> <li>a. Respondent can describe process used for audits.</li> <li>b. Respondent can describe frequency of audits.</li> <li>c. Respondent can describe process for improvement when non-adherence is observed.</li> </ul>  | <p><input type="radio"/> Yes <input type="radio"/> No</p> <ul style="list-style-type: none"> <li>a. <input type="radio"/> Yes <input type="radio"/> No</li> <li>b. <input type="radio"/> Yes <input type="radio"/> No</li> <li>c. <input type="radio"/> Yes <input type="radio"/> No</li> </ul>  | <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p>                                  |
| <p>3. Hospital provides feedback from audits to personnel regarding their adherence to elements of the program to improve surgical care.</p> <p>Verify the following:</p> <ul style="list-style-type: none"> <li>a. Respondent can describe how feedback is provided.</li> <li>b. Respondent can describe frequency of feedback.</li> </ul>  | <p><input type="radio"/> Yes <input type="radio"/> No</p> <ul style="list-style-type: none"> <li>a. <input type="radio"/> Yes <input type="radio"/> No</li> <li>b. <input type="radio"/> Yes <input type="radio"/> No</li> </ul>   | <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p>                                  |

II. Infection Control Training, Competency, and Implementation of Policies and Procedures

| Elements to be assessed  | Assessment   | Notes/Areas for Improvement   |
|--|--|---|
| G. Prevention of Surgical Site Infection (SSI) , continued   |  |   |
| <p>4. Hospital routinely audits (monitors and documents) adherence to recommended infection control practices for SSI prevention.</p> <p>Verify the following:</p> <p>Auditing includes:</p> <ul style="list-style-type: none"> <li>a. Adherence to preoperative surgical scrub and hand hygiene</li> <li>b. Appropriate use of surgical attire and drapes</li> <li>c. Adherence to aseptic technique and sterile field</li> <li>d. Proper ventilation requirements in surgical suites</li> <li>e. Minimization of traffic in the operating room</li> <li>f. Adherence to cleaning and disinfection of environmental surfaces</li> <li>g. Respondent can describe process used for audits.</li> <li>h. Respondent can describe frequency of audits.</li> <li>i. Respondent can describe process for improvement when non-adherence is observed.</li> </ul> | <p><input type="radio"/> Yes <input type="radio"/> No</p><br><ul style="list-style-type: none"> <li>a. <input type="radio"/> Yes <input type="radio"/> No</li> <li>b. <input type="radio"/> Yes <input type="radio"/> No</li> <li>c. <input type="radio"/> Yes <input type="radio"/> No</li> <li>d. <input type="radio"/> Yes <input type="radio"/> No</li> <li>e. <input type="radio"/> Yes <input type="radio"/> No</li> <li>f. <input type="radio"/> Yes <input type="radio"/> No</li> <li>g. <input type="radio"/> Yes <input type="radio"/> No</li> <li>h. <input type="radio"/> Yes <input type="radio"/> No</li> <li>i. <input type="radio"/> Yes <input type="radio"/> No</li> </ul> | <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p> |
| <p>5. Hospital provides feedback from audits to personnel regarding their adherence to surgical infection control practices.</p> <p>Verify the following:</p> <ul style="list-style-type: none"> <li>a. Respondent can describe how feedback is provided.</li> <li>b. Respondent can describe frequency of feedback.</li> </ul>  | <p><input type="radio"/> Yes <input type="radio"/> No</p><br><ul style="list-style-type: none"> <li>a. <input type="radio"/> Yes <input type="radio"/> No</li> <li>b. <input type="radio"/> Yes <input type="radio"/> No</li> </ul>  | <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p>  |
| <p>6. Hospital monitors SSI data and uses it to direct prevention activities.</p> <p>Verify the following:</p> <ul style="list-style-type: none"> <li>a. Respondent is familiar with NHSN SSI data.</li> <li>b. Respondent can describe how SSI data are used to direct prevention activities.</li> </ul>  | <p><input type="radio"/> Yes <input type="radio"/> No</p><br><ul style="list-style-type: none"> <li>a. <input type="radio"/> Yes <input type="radio"/> No</li> <li>b. <input type="radio"/> Yes <input type="radio"/> No</li> </ul>  | <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p>  |
| <p>7. Hospital provides feedback of SSI data to surgeons and other surgical personnel.</p> <p>Verify the following:</p> <ul style="list-style-type: none"> <li>a. Respondent can describe how feedback is provided.</li> <li>b. Respondent can describe frequency of feedback.</li> </ul>  | <p><input type="radio"/> Yes <input type="radio"/> No</p><br><ul style="list-style-type: none"> <li>a. <input type="radio"/> Yes <input type="radio"/> No</li> <li>b. <input type="radio"/> Yes <input type="radio"/> No</li> </ul>  | <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p>  |



| II. Infection Control Training, Competency, and Implementation of Policies and Procedures   |   |   |
|---|---|---|
| Elements to be assessed   | Assessment  | Notes/Areas for Improvement   |
| H. Prevention of <i>Clostridium difficile</i> Infection (CDI)   |   |   |
| 1. Hospital has physician and/or nurse champions for CDI prevention activities.   | <input type="radio"/> Yes <input type="radio"/> No  | Click here to enter text.   |
| 2. Hospital routinely audits (monitors and documents) adherence to recommended infection control practices for CDI prevention.<br>Verify the following:<br>Auditing includes:<br>a. Adherence to hand hygiene<br>b. Appropriate use of PPE<br>c. Compliance with Contact Precautions, including use of dedicated or disposable equipment<br>d. Adherence to cleaning and disinfection procedures, including use of sporicidal disinfectants if part of hospital policy<br>e. Respondent can describe process used for audits.<br>f. Respondent can describe frequency of audits.<br>g. Respondent can describe process for improvement when non-adherence is observed.  | <input type="radio"/> Yes <input type="radio"/> No<br><br>a. <input type="radio"/> Yes <input type="radio"/> No<br>b. <input type="radio"/> Yes <input type="radio"/> No<br>c. <input type="radio"/> Yes <input type="radio"/> No<br>d. <input type="radio"/> Yes <input type="radio"/> No<br>e. <input type="radio"/> Yes <input type="radio"/> No<br>f. <input type="radio"/> Yes <input type="radio"/> No<br>g. <input type="radio"/> Yes <input type="radio"/> No | Click here to enter text.<br>Click here to enter text.<br>Click here to enter text.<br>Click here to enter text.<br>Click here to enter text.<br>Click here to enter text.<br>Click here to enter text. |
| 3. Hospital provides feedback from audits to personnel regarding their adherence to recommended infection control practices for CDI prevention.<br>Verify the following:<br>a. Respondent can describe how feedback is provided.<br>b. Respondent can describe frequency of feedback.   | <input type="radio"/> Yes <input type="radio"/> No<br><br>a. <input type="radio"/> Yes <input type="radio"/> No<br>b. <input type="radio"/> Yes <input type="radio"/> No  | Click here to enter text.<br>Click here to enter text.<br>Click here to enter text.   |
| 4. Hospital has specific antibiotic stewardship strategies in place to reduce CDI.<br><i>Note: Please see section III.8 for full assessment of antibiotic stewardship program.</i><br>Verify the following:<br>a. Hospital has strategies to reduce unnecessary use of antibiotics that are high-risk for CDI (e.g., fluoroquinolones, 3 <sup>rd</sup> /4 <sup>th</sup> generation cephalosporins).<br>b. Hospital reviews appropriateness of antibiotics prescribed for treatment of other conditions (e.g., urinary tract infection) for patients with new or recent CDI diagnosis.<br>c. Hospital educates providers about the risk of CDI with antibiotics.<br>d. Hospital educates patients and family members about the risk of CDI with antibiotics. | <input type="radio"/> Yes <input type="radio"/> No<br><br>a. <input type="radio"/> Yes <input type="radio"/> No<br>b. <input type="radio"/> Yes <input type="radio"/> No<br>c. <input type="radio"/> Yes <input type="radio"/> No<br>d. <input type="radio"/> Yes <input type="radio"/> No  | Click here to enter text.<br>Click here to enter text.<br>Click here to enter text.<br>Click here to enter text.  |
| 5. Hospital monitors CDI data and uses it to direct prevention activities.<br>Verify the following:<br>a. Respondent is familiar with NHSN CDI data.<br>b. Respondent can describe how CDI data are used to direct prevention activities.   | <input type="radio"/> Yes <input type="radio"/> No<br><br>a. <input type="radio"/> Yes <input type="radio"/> No<br>b. <input type="radio"/> Yes <input type="radio"/> No  | Click here to enter text.<br>Click here to enter text.<br>Click here to enter text.   |
| 6. Hospital provides feedback of CDI data to frontline personnel.<br>Verify the following:<br>a. Respondent can describe how feedback is provided.<br>b. Respondent can describe frequency of feedback.   | <input type="radio"/> Yes <input type="radio"/> No<br><br>a. <input type="radio"/> Yes <input type="radio"/> No<br>b. <input type="radio"/> Yes <input type="radio"/> No  | Click here to enter text.<br>Click here to enter text.<br>Click here to enter text.   |

## II. Infection Control Training, Competency, and Implementation of Policies and Procedures

| Elements to be assessed  | Assessment  | Notes/Areas for Improvement   |
|--|---|---|
| <b>I. Environmental Cleaning</b>   |   |   |
| <p>1. Hospital has a competency-based training program for environmental cleaning.</p> <p>Verify the following:</p> <ul style="list-style-type: none"> <li>a. Training is provided to all personnel who clean and disinfect patient care areas. Personnel may include, but are not limited to, environmental services staff, nurses, nursing assistants, and technicians.</li> <li>b. Training is provided upon hire, prior to being allowed to perform environmental cleaning.</li> <li>c. Training is provided at least annually.</li> <li>d. Training is provided when new equipment or protocols are introduced.</li> <li>e. Personnel are required to demonstrate competency with environmental cleaning (i.e., correct technique is observed by trainer) following each training.</li> <li>f. Hospital maintains current documentation of competency with environmental cleaning procedures for all personnel who clean and disinfect patient care areas.</li> <li>g. If the hospital contracts environmental services, the contractor has a comparable training program.</li> </ul> | <p><input type="radio"/> Yes <input type="radio"/> No</p> <ul style="list-style-type: none"> <li>a. <input type="radio"/> Yes <input type="radio"/> No</li> <li>b. <input type="radio"/> Yes <input type="radio"/> No</li> <li>c. <input type="radio"/> Yes <input type="radio"/> No</li> <li>d. <input type="radio"/> Yes <input type="radio"/> No</li> <li>e. <input type="radio"/> Yes <input type="radio"/> No</li> <li>f. <input type="radio"/> Yes <input type="radio"/> No</li> <li>g. <input type="radio"/> Yes <input type="radio"/> No</li> </ul> <p>Not Applicable <input type="radio"/></p> | <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p> |
| <p>2. Hospital has policies that clearly define responsibilities for cleaning and disinfection of non-critical equipment, mobile devices, and other electronics (e.g., ICU monitors, ventilator surfaces, bar code scanners, point-of-care devices, mobile work stations, code carts, airway boxes).</p>   | <p><input type="radio"/> Yes <input type="radio"/> No</p>   | <p>Click here to enter text.</p>  |
| <p>3. Hospital has protocols to ensure that healthcare personnel can readily identify equipment that has been properly cleaned and disinfected and is ready for patient use (e.g., tagging system, placement in dedicated clean area).</p>   | <p><input type="radio"/> Yes <input type="radio"/> No</p>   | <p>Click here to enter text.</p>  |
| <p>4. Hospital routinely audits (monitors and documents) adherence to cleaning and disinfection procedures, including use of products in accordance with manufacturers' instructions (e.g., dilution, storage, shelf-life, contact time).</p> <p>Verify the following:</p> <ul style="list-style-type: none"> <li>a. Respondent can describe process used for audits (e.g., monitoring technology, direct observation).</li> <li>b. Respondent can describe frequency of audits.</li> <li>c. Respondent can describe process for improvement when non-adherence is observed.</li> </ul>  | <p><input type="radio"/> Yes <input type="radio"/> No</p> <ul style="list-style-type: none"> <li>a. <input type="radio"/> Yes <input type="radio"/> No</li> <li>b. <input type="radio"/> Yes <input type="radio"/> No</li> <li>c. <input type="radio"/> Yes <input type="radio"/> No</li> </ul>   | <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p>   |
| <p>5. Hospital provides feedback from audits to personnel regarding their adherence to cleaning and disinfection procedures.</p> <p>Verify the following:</p> <ul style="list-style-type: none"> <li>a. Respondent can describe how feedback is provided.</li> <li>b. Respondent can describe frequency of feedback.</li> </ul>  | <p><input type="radio"/> Yes <input type="radio"/> No</p> <ul style="list-style-type: none"> <li>a. <input type="radio"/> Yes <input type="radio"/> No</li> <li>b. <input type="radio"/> Yes <input type="radio"/> No</li> </ul>  | <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p>  |

## II. Infection Control Training, Competency, and Implementation of Policies and Procedures

| Elements to be assessed   | Assessment  | Notes/Areas for Improvement  |
|---|---|--|
| <p>J. Device Reprocessing</p> <p>This section refers to all medical devices that may be reused in the hospital. Device categories include:</p> <ul style="list-style-type: none"> <li>• Critical items (e.g., surgical instruments) are objects that enter sterile tissue or the vascular system and must be sterile prior to use.</li> <li>• Semi-critical items (e.g., endoscopes for upper endoscopy and colonoscopy, laryngoscope blades) are objects that contact mucous membranes or non-intact skin and require, at a minimum, high-level disinfection prior to reuse.</li> <li>• Non-critical items (e.g., blood pressure cuffs, point-of-care devices) are objects that may come in contact with intact skin but not mucous membranes and should undergo cleaning and low- or intermediate-level disinfection depending on the nature and degree of contamination (See Environmental Cleaning Section I. above).</li> </ul> <p>Single-use devices (SUDs) are labeled by the manufacturer for a single use and do not have reprocessing instructions. They may not be reused unless they have been reprocessed for reuse by entities which have complied with FDA regulatory requirements and have received FDA clearance to reprocess specific SUDs.</p> |   |  |
| <p>1. Hospital has a competency-based training program for reprocessing of <b>critical</b> devices.</p> <p>Verify the following:</p> <ol style="list-style-type: none"> <li>Training is provided to all personnel who reprocess critical devices.</li> <li>Training is provided upon hire, prior to being allowed to reprocess critical devices.</li> <li>Training is provided at least annually.</li> <li>Training is provided when new devices or protocols are introduced.</li> <li>Personnel are required to demonstrate competency with device reprocessing (i.e., correct technique is observed by trainer) following each training.</li> <li>Hospital maintains current documentation of competency with reprocessing procedures for all personnel who reprocess critical devices.</li> <li>If the hospital contracts reprocessing of critical devices, the contractor has a comparable training program which includes the specific devices used by the hospital.</li> </ol>  | <p><input type="radio"/> Yes <input type="radio"/> No</p> <p><input type="radio"/> Not Applicable<br/>(Check if facility does not reprocess critical devices and move to #4)</p> <ol style="list-style-type: none"> <li><input type="radio"/> Yes <input type="radio"/> No</li> <li><input type="radio"/> Yes <input type="radio"/> No</li> <li><input type="radio"/> Yes <input type="radio"/> No</li> <li><input type="radio"/> Yes <input type="radio"/> No</li> <li><input type="radio"/> Yes <input type="radio"/> No</li> <li><input type="radio"/> Yes <input type="radio"/> No</li> <li><input type="radio"/> Yes <input type="radio"/> No</li> </ol> <p>Not Applicable <input type="radio"/></p> | <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p> |
| <p>2. Hospital routinely audits (monitors and documents) adherence to reprocessing procedures for <b>critical</b> devices.</p> <p>Verify the following:</p> <ol style="list-style-type: none"> <li>Respondent can describe process used for audits.</li> <li>Respondent can describe frequency of audits.</li> <li>Audits occur in all locations where critical devices are reprocessed (e.g., central sterile reprocessing, operating suites), including locations where initial cleaning steps are performed (e.g., point of use).</li> <li>Respondent can describe process for improvement when non-adherence is observed.</li> </ol>  | <p><input type="radio"/> Yes <input type="radio"/> No</p> <ol style="list-style-type: none"> <li><input type="radio"/> Yes <input type="radio"/> No</li> <li><input type="radio"/> Yes <input type="radio"/> No</li> <li><input type="radio"/> Yes <input type="radio"/> No</li> </ol> <p>d. <input type="radio"/> Yes <input type="radio"/> No</p>   | <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p>  |

| II. Infection Control Training, Competency, and Implementation of Policies and Procedures   |   |   |
|---|---|---|
| Elements to be assessed   | Assessment  | Notes/Areas for Improvement   |
| J. Device Reprocessing, continued   |   |   |
| <p>3. Hospital provides feedback from audits to personnel regarding their adherence to reprocessing procedures for <b>critical</b> devices.</p> <p>Verify the following:</p> <p>a. Respondent can describe how feedback is provided.</p> <p>b. Respondent can describe frequency of feedback.</p>   | <p><input type="radio"/> Yes <input type="radio"/> No</p> <p>a. <input type="radio"/> Yes <input type="radio"/> No</p> <p>b. <input type="radio"/> Yes <input type="radio"/> No</p>   | <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p>  |
| <p>4. Hospital has a competency-based training program for reprocessing of <b>semi-critical</b> devices.</p> <p>Verify the following:</p> <p>a. Training is provided to all personnel who reprocess semi-critical devices.</p> <p>b. Training is provided upon hire, prior to being allowed to reprocess semi-critical devices.</p> <p>c. Training is provided at least annually.</p> <p>d. Training is provided when new devices or protocols are introduced.</p> <p>e. Personnel are required to demonstrate competency with device reprocessing (i.e., correct technique is observed by trainer) following each training.</p> <p>f. Hospital maintains current documentation of competency with reprocessing procedures for all personnel who reprocess semi-critical devices.</p> <p>g. If the hospital contracts reprocessing of semi-critical devices, the contractor has a comparable training program which includes the specific devices used by the hospital.</p> | <p><input type="radio"/> Yes <input type="radio"/> No</p> <p><input type="radio"/> Not Applicable<br/>(Check if facility does not reprocess semi-critical devices and move to #7)</p> <p>a. <input type="radio"/> Yes <input type="radio"/> No</p> <p>b. <input type="radio"/> Yes <input type="radio"/> No</p> <p>c. <input type="radio"/> Yes <input type="radio"/> No</p> <p>d. <input type="radio"/> Yes <input type="radio"/> No</p> <p>e. <input type="radio"/> Yes <input type="radio"/> No</p> <p>f. <input type="radio"/> Yes <input type="radio"/> No</p> <p>g. <input type="radio"/> Yes <input type="radio"/> No<br/>Not Applicable <input type="radio"/></p> | <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p> |
| <p>5. Hospital routinely audits (monitors and documents) adherence to reprocessing procedures for <b>semi-critical</b> devices.</p> <p>Verify the following:</p> <p>a. Respondent can describe process used for audits.</p> <p>b. Respondent can describe frequency of audits.</p> <p>c. Audits occur in all locations where semi-critical devices are reprocessed (e.g., central sterile reprocessing, endoscopy suites), including locations where initial cleaning steps are performed (e.g., point of use).</p> <p>d. Respondent can describe process for improvement when non-adherence is observed.</p>   | <p><input type="radio"/> Yes <input type="radio"/> No</p> <p>a. <input type="radio"/> Yes <input type="radio"/> No</p> <p>b. <input type="radio"/> Yes <input type="radio"/> No</p> <p>c. <input type="radio"/> Yes <input type="radio"/> No</p> <p>d. <input type="radio"/> Yes <input type="radio"/> No</p>   | <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p>   |
| <p>6. Hospital provides feedback from audits to personnel regarding their adherence to reprocessing procedures for <b>semi-critical</b> devices.</p> <p>Verify the following:</p> <p>a. Respondent can describe how feedback is provided.</p> <p>b. Respondent can describe frequency of feedback.</p>  | <p><input type="radio"/> Yes <input type="radio"/> No</p> <p>a. <input type="radio"/> Yes <input type="radio"/> No</p> <p>b. <input type="radio"/> Yes <input type="radio"/> No</p>   | <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p>  |

## II. Infection Control Training, Competency, and Implementation of Policies and Procedures

| Elements to be assessed   | Assessment  | Notes/Areas for Improvement  |
|---|---|--|
| J. Device Reprocessing, continued   |   |  |
| 7. If hospital reuses <b>single-use devices</b> , the devices are reprocessed by an FDA-approved entity.  | <input type="radio"/> Yes <input type="radio"/> No<br><input type="radio"/> Not Applicable<br>(Check if hospital does not reuse single-use devices)   | Click here to enter text.  |
| 8. Hospital maintains documentation of reprocessing activities.<br><br>Verify the following: <ol style="list-style-type: none"> <li>Hospital maintains logs for each sterilizer cycle that include the results from each load.</li> <li>Hospital has documentation that the chemicals used for high-level disinfection are routinely tested for appropriate concentration and replaced appropriately.</li> <li>Hospital maintains documentation of reprocessing activities.</li> </ol>  | <input type="radio"/> Yes <input type="radio"/> No<br><br>a. <input type="radio"/> Yes <input type="radio"/> No<br><br>b. <input type="radio"/> Yes <input type="radio"/> No<br><br>c. <input type="radio"/> Yes <input type="radio"/> No | Click here to enter text.<br><br>Click here to enter text.<br><br>Click here to enter text.<br><br>Click here to enter text. |
| 9. Hospital allows adequate time for reprocessing to ensure adherence to all steps recommended by the device manufacturer, including drying and proper storage.<br><br>Verify the following: <ol style="list-style-type: none"> <li>Hospital has an adequate supply of instruments for the volume of procedures performed to allow sufficient time for all reprocessing steps.</li> <li>Scheduling of procedures allows sufficient time for all reprocessing steps.</li> <li>Hospital does not routinely use immediate-use steam sterilization (IUSS).</li> </ol> | <input type="radio"/> Yes <input type="radio"/> No<br><br>a. <input type="radio"/> Yes <input type="radio"/> No<br><br>b. <input type="radio"/> Yes <input type="radio"/> No<br><br>c. <input type="radio"/> Yes <input type="radio"/> No | Click here to enter text.<br><br>Click here to enter text.<br><br>Click here to enter text.<br><br>Click here to enter text. |
| 10. IP program is consulted whenever new devices or products will be purchased or introduced to ensure implementation of appropriate reprocessing policies and procedures.  | <input type="radio"/> Yes <input type="radio"/> No  | Click here to enter text.  |
| 11. Hospital has policies and procedures outlining hospital response (i.e., risk assessment and recall of device) in the event of a reprocessing error or failure.<br><br>Verify the following: <ol style="list-style-type: none"> <li>The IP can describe how the risk assessment would be performed including how the hospital would identify which patients may have been exposed to an improperly reprocessed device.</li> </ol>  | <input type="radio"/> Yes <input type="radio"/> No<br><br>a. <input type="radio"/> Yes <input type="radio"/> No   | Click here to enter text.<br><br>Click here to enter text.   |

| III. Systems to Detect, Prevent, and Respond to Healthcare-Associated Infections and Multidrug-Resistant Organisms (MDROs)  |   |  |
|---|---|--|
| Elements to be assessed   | Assessment  | Notes/Areas for Improvement  |
| <p>1. Hospital has system in place for early detection and management of potentially infectious persons <b>at initial points of entry to the hospital</b>, including rapid isolation as appropriate.</p> <p>Verify the following:</p> <ul style="list-style-type: none"> <li>a. Travel and occupational history is included as part of admission and triage protocols.</li> <li>b. Hospital has system to identify (flag) patients with targeted MDROs upon readmission so appropriate precautions can be applied.</li> </ul> <p>The hospital has a respiratory/hygiene cough etiquette program that includes:</p> <ul style="list-style-type: none"> <li>c. Posting signs at entrances</li> <li>d. Providing tissues and no-touch receptacles for disposal of tissues</li> <li>e. Providing hand hygiene supplies in or near waiting areas</li> <li>f. Offering facemasks to coughing patients and other symptomatic individuals upon entry to the facility</li> <li>g. Providing space in patient waiting areas (e.g., ED waiting room) and encouraging individuals with symptoms of respiratory infections to sit as far away from others as possible</li> </ul> | <p><input type="radio"/> Yes <input type="radio"/> No</p> <ul style="list-style-type: none"> <li>a. <input type="radio"/> Yes <input type="radio"/> No</li> <li>b. <input type="radio"/> Yes <input type="radio"/> No</li> <li>c. <input type="radio"/> Yes <input type="radio"/> No</li> <li>d. <input type="radio"/> Yes <input type="radio"/> No</li> <li>e. <input type="radio"/> Yes <input type="radio"/> No</li> <li>f. <input type="radio"/> Yes <input type="radio"/> No</li> <li>g. <input type="radio"/> Yes <input type="radio"/> No</li> </ul> | <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p> |
| <p>2. Hospital has systems in place for early detection and isolation of infectious patients identified <b>during the hospital stay</b>, including rapid isolation of patients as appropriate.</p> <p>Verify the following:</p> <ul style="list-style-type: none"> <li>a. There is a mechanism for prompt notification of the IP by the clinical microbiology laboratory when novel resistance patterns and/or targeted antimicrobial-resistant pathogens are detected.</li> </ul>  | <p><input type="radio"/> Yes <input type="radio"/> No</p> <ul style="list-style-type: none"> <li>a. <input type="radio"/> Yes <input type="radio"/> No</li> </ul>   | <p>Click here to enter text.</p> <p>Click here to enter text.</p>  |
| <p>3. Hospital has system in place for <b>INTER-facility</b> communication of infectious status and isolation needs of patients <b>prior to transfer to</b> other facilities.</p> <p>Verify the following:</p> <ul style="list-style-type: none"> <li>a. Respondent can describe methods employed to ensure infectious status and isolation needs are communicated with receiving facilities.</li> <li>b. The hospital has system to notify receiving facilities of microbiological tests (e.g., cultures) that are pending at the time of transfer.</li> </ul>   | <p><input type="radio"/> Yes <input type="radio"/> No</p> <ul style="list-style-type: none"> <li>a. <input type="radio"/> Yes <input type="radio"/> No</li> <li>b. <input type="radio"/> Yes <input type="radio"/> No</li> </ul>  | <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p>   |

| III. Systems to Detect, Prevent, and Respond to Healthcare-Associated Infections and Multidrug-Resistant Organisms (MDROs), continued  |  |   |
|--|--|---|
| Elements to be assessed  | Assessment   | Notes/Areas for Improvement   |
| <p>4. Hospital has system in place for <b>INTER-facility</b> communication to identify infectious status and isolation needs of patients <b>prior to accepting patients from</b> other facilities.</p> <p>Verify the following:</p> <ul style="list-style-type: none"> <li>a. Respondent can describe methods employed to ensure infectious status and isolation needs are obtained from transferring facilities.</li> <li>b. The hospital has system to follow-up on microbiological results (e.g., cultures) that are pending at the time of transfer.</li> <li>c. If the hospital identifies an infection that may be related to care provided at another facility (e.g., hospital, nursing home, clinic), the facility is notified.</li> </ul> | <p><input type="radio"/> Yes <input type="radio"/> No</p> <p>a. <input type="radio"/> Yes <input type="radio"/> No</p> <p>b. <input type="radio"/> Yes <input type="radio"/> No</p> <p>c. <input type="radio"/> Yes <input type="radio"/> No</p> | <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p> |
| <p>5. Hospital has system in place for <b>INTRA-facility</b> communication to identify infectious status and isolation needs of patients prior to transfer to other units or shared spaces (e.g., radiology, physical therapy, emergency department) within the hospital.</p> <p>Verify the following:</p> <ul style="list-style-type: none"> <li>a. Respondent can describe methods employed to ensure infectious status and isolation needs are communicated with receiving units.</li> </ul>  | <p><input type="radio"/> Yes <input type="radio"/> No</p> <p>a. <input type="radio"/> Yes <input type="radio"/> No</p>   | <p>Click here to enter text.</p> <p>Click here to enter text.</p>   |
| <p>6. Hospital has a surveillance program to monitor incidence of epidemiologically-important organisms (e.g., CRE) and targeted healthcare-associated infections.</p> <p>Verify the following:</p> <ul style="list-style-type: none"> <li>a. Respondent can describe how the hospital determines which organisms and HAIs to track.</li> </ul>  | <p><input type="radio"/> Yes <input type="radio"/> No</p> <p>a. <input type="radio"/> Yes <input type="radio"/> No</p>   | <p>Click here to enter text.</p> <p>Click here to enter text.</p>   |
| <p>7. Hospital uses surveillance data to implement corrective actions rapidly when transmission of epidemiologically-important organisms (e.g., CRE) or increased rates or persistently elevated rates of healthcare-associated infections are detected.</p> <p>Verify the following:</p> <ul style="list-style-type: none"> <li>a. Data collection method allows for timely response to identified problems.</li> </ul>   | <p><input type="radio"/> Yes <input type="radio"/> No</p> <p>a. <input type="radio"/> Yes <input type="radio"/> No</p>   | <p>Click here to enter text.</p> <p>Click here to enter text.</p>   |

III. Systems to Detect, Prevent, and Respond to Healthcare-Associated Infections and Multidrug-Resistant Organisms (MDROs), continued

| Elements to be assessed   | Assessment   | Notes/Areas for Improvement   |
|---|--|---|
| <p>8. Hospital has an antibiotic stewardship program that meets the 7 CDC core elements listed below (a – g).</p> <p><i>Note: The antibiotic stewardship program should be assessed in consultation with personnel knowledgeable about antibiotic stewardship activities (e.g., physician or pharmacist stewardship lead). Responses can be obtained from or cross-checked with the NHSN Annual Hospital Survey Antibiotic Stewardship Practice questions (Q 23 – 34) if available.</i></p> <p>Verify the following:</p> <p>a. Hospital leadership commitment</p> <ul style="list-style-type: none"> <li>○ Hospital has a written statement of support from leadership that supports efforts to improve antibiotic use (antibiotic stewardship) <u>AND/OR</u></li> <li>○ Hospital provides salary support for dedicated time for antibiotic stewardship activities.</li> </ul> <p>b. Program leadership (accountability)</p> <ul style="list-style-type: none"> <li>○ There is a leader responsible for outcomes of stewardship activities at the hospital.</li> </ul> <p>c. Drug expertise</p> <ul style="list-style-type: none"> <li>○ There is at least one pharmacist responsible for improving antibiotic use at the hospital.</li> </ul> <p>d. Act (at least one prescribing improvement action below)</p> <ul style="list-style-type: none"> <li>○ Hospital has a policy that requires prescribers to document an indication for all antibiotics in the medical record or during order entry.</li> <li>○ Hospital has hospital-specific treatment recommendations, based on national guidelines and local susceptibility, to assist with antibiotic selection for common clinical conditions.</li> <li>○ There is a formal procedure for all clinicians to review the appropriateness of all antibiotics at or after 48 hours from the initial orders (e.g., antibiotic time out).</li> <li>○ Hospital has specified antibiotic agents that need to be approved by a physician or pharmacist prior to dispensing at the hospital.</li> <li>○ Physician or pharmacist reviews courses of therapy for specified antibiotic agents and communicates results with prescribers.</li> </ul> <p>e. Track</p> <ul style="list-style-type: none"> <li>○ Hospital monitors antibiotic use (consumption).</li> </ul> <p>f. Report</p> <ul style="list-style-type: none"> <li>○ Prescribers receive feedback by the stewardship program about how they can improve their antibiotic prescribing.</li> </ul> <p>g. Educate</p> <ul style="list-style-type: none"> <li>○ Stewardship program provides education to clinicians and other relevant staff on improving antibiotic use.</li> </ul> | <p>○ Yes ○ No</p> <p>a. ○ Yes ○ No</p> <p>b. ○ Yes ○ No</p> <p>c. ○ Yes ○ No</p> <p>d. ○ Yes ○ No</p> <p>e. ○ Yes ○ No</p> <p>f. ○ Yes ○ No</p> <p>g. ○ Yes ○ No</p> | <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p> |



| III. Systems to Detect, Prevent, and Respond to Healthcare-Associated Infections and Multidrug-Resistant Organisms (MDROs), continued  |   |  |
|--|---|--|
| Elements to be assessed  | Assessment  | Notes/Areas for Improvement  |
| <p>9. Hospital has occupational health program that, in addition to complying with state and federal requirements (e.g., OSHA), has policies regarding contact of personnel with patients when personnel have potentially transmissible conditions.</p> <p>Verify the following:</p> <ul style="list-style-type: none"> <li>a. The program has work-exclusion policies that encourage reporting of illnesses and do not penalize with loss of wages, benefits or job status.</li> <li>b. Personnel are educated regarding prompt reporting of illness to their supervisor and the occupational health programs.</li> </ul> | <p><input type="radio"/> Yes <input type="radio"/> No</p> <p>a. <input type="radio"/> Yes <input type="radio"/> No</p> <p>b. <input type="radio"/> Yes <input type="radio"/> No</p> | <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p> |
| <p>10. Hospital follows recommendations of the Advisory Committee on Immunization Practices (ACIP) for immunization of healthcare personnel, including offering Hepatitis B and influenza vaccination.</p>   | <p><input type="radio"/> Yes <input type="radio"/> No</p>   | <p>Click here to enter text.</p>   |
| <p>11. Hospital is compliant with mandatory reporting requirements for notifiable diseases, healthcare-associated infections (as appropriate), and potential outbreaks.</p> <p>Verify the following:</p> <ul style="list-style-type: none"> <li>a. Hospital can identify point(s) of contact at the local or state health department for HAI concerns.</li> </ul>  | <p><input type="radio"/> Yes <input type="radio"/> No</p> <p>a. <input type="radio"/> Yes <input type="radio"/> No</p>  | <p>Click here to enter text.</p> <p>Click here to enter text.</p>                                  |
| <p>12. Hospital implements infection control measures relevant to construction, renovation, demolition, and repairs including performance of an infection control risk assessment (ICRA) before a project gets underway.</p> <p>Verify the following:</p> <ul style="list-style-type: none"> <li>a. IP program is consulted anytime construction, renovation, demolition, or repairs will be performed.</li> <li>b. ICRA elements are included in all contracts related to construction, renovation, demolition, and repairs.</li> </ul>   | <p><input type="radio"/> Yes <input type="radio"/> No</p> <p>a. <input type="radio"/> Yes <input type="radio"/> No</p> <p>b. <input type="radio"/> Yes <input type="radio"/> No</p> | <p>Click here to enter text.</p> <p>Click here to enter text.</p> <p>Click here to enter text.</p> |

### Section 3: Direct Observation of Facility Practices (optional)

Certain infection control lapses (e.g., reuse of syringes on more than one patient or to access a medication container that is used for subsequent patients; reuse of lancets) can result in bloodborne pathogen transmission and should be halted immediately. Identification of such lapses warrants appropriate notification and testing of potentially affected patients.

Examples of Auditing Tools for Direct Observations:

- **General Infection Control**

Centers for Medicare & Medicaid Services Hospital Infection Control Worksheet:

<http://www.cms.gov/Medicare/Provider-Enrollment-and-Certification/SurveyCertificationGenInfo/Downloads/Survey-and-Cert-Letter-15-12-Attachment-1.pdf>

Auditing checklists available for observations of:

- Hand hygiene
- Personal protective equipment use
- Indwelling urinary catheter insertion and maintenance
- Central venous catheter insertion and maintenance
- Injection safety
- Environmental services
- Equipment reprocessing (non-critical, semi-critical, critical reusable and single-use devices)
- Ventilator/respiratory therapy
- Spinal injection procedures
- Point of care devices
- Transmission-based precautions (Contact, Droplet, Airborne)
- Surgical procedures

- **Hand Hygiene Auditing Tools**

- Measuring Hand Hygiene Adherence: Overcoming the Challenges:  
[http://www.jointcommission.org/assets/1/18/hh\\_monograph.pdf](http://www.jointcommission.org/assets/1/18/hh_monograph.pdf)
- iScrub: <http://compepi.cs.uiowa.edu/index.php/Research/IScrub>

- **Personal Protective Equipment (PPE) Donning and Doffing**

- CDC Sequence for Donning and Removing Personal Protective Equipment  
<http://www.cdc.gov/hai/pdfs/ppe/PPE-Sequence.pdf>

- **Urinary Catheter Appropriate Use, Insertion, and Maintenance**

- American Nurses Association CAUTI Prevention Tool: <http://nursingworld.org/CAUTI-Tool>
- CDC TAP CAUTI Toolkit Implementation Guide: <http://www.cdc.gov/hai/prevent/tap/resources.html>

- **Central Venous Catheter Appropriate Use, Insertion, and Maintenance**

- CDC Checklist for Prevention of Central Line-Associated Blood Stream Infections: <http://www.cdc.gov/HAI/pdfs/bsi/checklist-for-CLABSI.pdf>
- AHRQ Tools for Reducing CLABSI: <http://www.ahrq.gov/professionals/education/curriculum-tools/clabsitools/index.html>

- **Safe Injection Practices**

- Injection Safety Checklist: <http://www.oneandonlycampaign.org/sites/default/files/upload/pdf/Injection%20Safety%20Checklist-508.pdf>

- **Environmental Infection Control**

- CDC Environmental Checklist for Monitoring Terminal Cleaning: <http://www.cdc.gov/HAI/toolkits/Environmental-Cleaning-Checklist-10-6-2010.pdf>
- CDC Environmental Cleaning Evaluation Worksheet: <http://www.cdc.gov/HAI/toolkits/Evaluating-Environmental-Cleaning.html>
- Infection Control Risk Assessment (ICRA) during Construction and Renovation: [http://www.nebraskamed.com/App\\_Files/doc/icap/Basic-ICRA-with-Matrix.pdf](http://www.nebraskamed.com/App_Files/doc/icap/Basic-ICRA-with-Matrix.pdf)

## Section 4: Infection Control Guidelines and Other Resources

- **General Infection Prevention**
  - CDC/HICPAC Guidelines and recommendations: [http://www.cdc.gov/HAI/prevent/prevent\\_pubs.html](http://www.cdc.gov/HAI/prevent/prevent_pubs.html)
- **Facility Infection Risk Assessment**
  - Infection Control Risk Assessment Analysis (Excel Document):  
<https://higherlogicdownload.s3.amazonaws.com/APIC/eb3f0499-9134-44a4-9b14-f1d9f3915c3f/UploadedImages/ICRiskAssessmentAnalysis.xls>
- **Hand Hygiene**
  - Guideline for Hand Hygiene in Healthcare Settings: <http://www.cdc.gov/mmwr/PDF/rr/rr5116.pdf>
  - Hand Hygiene in Healthcare Settings: <http://www.cdc.gov/handhygiene>
- **Personal Protective Equipment**
  - 2007 Guidelines for Isolation Precautions: Preventing Transmission of Infectious Agents in Healthcare Settings: <http://www.cdc.gov/hicpac/pdf/isolation2007.pdf>
  - Guidance for the Selection and Use of Personal Protective Equipment in Healthcare Settings: <http://www.cdc.gov/HAI/prevent/ppe.html>
- **Catheter-associated Urinary Tract Infection (CAUTI)**
  - Guideline for Prevention of Catheter-associated Urinary Tract Infections, 2009: <http://www.cdc.gov/hicpac/pdf/CAUTI/CAUTGuideline2009final.pdf>
- **Central line-associated Bloodstream Infection (CLABSI)**
  - Guideline for Prevention of Intravascular Catheter-related Infections, 2011: <http://www.cdc.gov/hicpac/pdf/guidelines/bsi-guidelines-2011.pdf>
- **Ventilator-associated Event (VAE)**
  - Guidelines for Preventing Healthcare-associated Pneumonia, 2003: [http://www.cdc.gov/hicpac/pdf/guidelines/CDCpneumo\\_guidelines.pdf](http://www.cdc.gov/hicpac/pdf/guidelines/CDCpneumo_guidelines.pdf)
- **Surgical Site Infection (SSI)**
  - Guidelines for the Prevention of Surgical Site Infection, 1999: [http://www.cdc.gov/hicpac/pdf/guidelines/SSI\\_1999.pdf](http://www.cdc.gov/hicpac/pdf/guidelines/SSI_1999.pdf)

- **Safe Injection Practices**

- 2007 Guidelines for Isolation Precautions: Preventing Transmission of Infectious Agents in Healthcare Settings: <http://www.cdc.gov/hicpac/pdf/isolation/Isolation2007.pdf>
- CDC Injection Safety Web Materials: <http://www.cdc.gov/injectionsafety>
- CDC training video and related Safe Injection Practices Campaign materials: <http://oneandonlycampaign.org>

- ***Clostridium difficile* Infection (CDI) and Multidrug-Resistant Organisms (MDRO), including antimicrobial stewardship**

- 2007 Guidelines for Isolation Precautions: Preventing Transmission of Infectious Agents in Healthcare Settings: <http://www.cdc.gov/hicpac/pdf/isolation/Isolation2007.pdf>
- Management of Multi-Drug Resistant Organisms in Healthcare Settings, 2006: <http://www.cdc.gov/hicpac/pdf/guidelines/MDROGuideline2006.pdf>
- SHEA-IDSA Strategies to Prevention *Clostridium difficile* Infections in Acute Care Hospitals: 2014 Update: <http://www.jstor.org/stable/10.1086/676023>
- SHEA-IDSA Guideline: <http://www.cdc.gov/HAI/pdfs/cdiff/Cohen-IDSA-SHEA-CDI-guidelines-2010.pdf>
- CDC's Core Elements of Hospital Antibiotic Stewardship Program: <http://www.cdc.gov/getsmart/healthcare/implementation/core-elements.html>
- CDC Implementation Resources for Antibiotic Stewardship: <http://www.cdc.gov/getsmart/healthcare/implementation.html>
- EPA Listing of disinfectant products with sporicidal activity against *C. difficile*: <https://www.epa.gov/pesticide-registration/list-k-epas-registered-antimicrobial-products-effective-against-clostridium>

- **Environmental Infection Control, including Infection Control Risk Assessment (ICRA)**

- Guidelines for Environmental Infection Control in Healthcare Facilities: [http://www.cdc.gov/hicpac/pdf/guidelines/eic\\_in\\_HCF\\_03.pdf](http://www.cdc.gov/hicpac/pdf/guidelines/eic_in_HCF_03.pdf)

- **Equipment Reprocessing**

- Guideline for Disinfection and Sterilization in Healthcare Facilities: [http://www.cdc.gov/hicpac/pdf/guidelines/Disinfection\\_Nov\\_2008.pdf](http://www.cdc.gov/hicpac/pdf/guidelines/Disinfection_Nov_2008.pdf)
- FDA regulations on reprocessing of single-use devices: <http://www.fda.gov/MedicalDevices/DeviceRegulationandGuidance/GuidanceDocuments/ucm071434>

- **Point-of-Care Testing**

- Infection Prevention during Blood Glucose Monitoring and Insulin Administration: <http://www.cdc.gov/injectionsafety/blood-glucose-monitoring.html>
- Frequently Asked Questions (FAQs) regarding Assisted Blood Glucose Monitoring and Insulin Administration: [http://www.cdc.gov/injectionsafety/providers/blood-glucose-monitoring\\_faqs.html](http://www.cdc.gov/injectionsafety/providers/blood-glucose-monitoring_faqs.html)

- **Respiratory Hygiene/Cough Etiquette**

- 2007 Guidelines for Isolation Precautions: Preventing Transmission of Infectious Agents in Healthcare Settings: <http://www.cdc.gov/hicpac/pdf/isolation/Isolation2007.pdf>
- Recommendations for Preventing the Spread of Influenza: <http://www.cdc.gov/flu/professionals/infectioncontrol/>

- **Healthcare Personnel Safety**

- Guideline for Infection Control in Healthcare Personnel: <http://www.cdc.gov/hicpac/pdf/InfectControl98.pdf>
- Immunization of Healthcare Personnel: <http://www.cdc.gov/vaccines/adults/rec-vac/hcw.html>
- Occupational Safety & Health Administration (OSHA) Bloodborne Pathogen and Needlestick Prevention Standard: <https://www.osha.gov/SLTC/bloodbornepathogens/index.html>
- Hospital Respiratory Protection Program Toolkit: <http://www.cdc.gov/niosh/docs/2015-117/pdfs/2015-117.pdf>

- **Resources to assist with evaluation and response to breaches in infection control**

- Patel PR, Srinivasan A, Perz JF. Developing a broader approach to management of infection control breaches in health care settings. Am J Infect Control 2008; 36(10):685-90. [http://www.ajicjournal.org/article/S0196-6553\(08\)00683-4/abstract](http://www.ajicjournal.org/article/S0196-6553(08)00683-4/abstract)
- Steps for Evaluating an Infection Control Breach: [http://www.cdc.gov/hai/outbreaks/steps\\_for\\_eval\\_IC\\_breach.html](http://www.cdc.gov/hai/outbreaks/steps_for_eval_IC_breach.html)
- Patient Notification Toolkit: <http://www.cdc.gov/injectionsafety/pntoolkit/index.html>

## Assessment Summary

Click to update summary tables

Spell Check

Create new file with summary tables

### **I. Infection Control Program and Infrastructure**

Notes/Recommendations: [Click here to enter text.](#)

#### **A. Hand Hygiene**

Notes/Recommendations: [Click here to enter text.](#)

#### **B. Personal Protective Equipment (PPE)**

Notes/Recommendations: [Click here to enter text.](#)

#### **C. Prevention of Catheter-associated Urinary Tract Infection (CAUTI)**

Notes/Recommendations: [Click here to enter text.](#)

#### **D. Prevention of Central line-associated Bloodstream Infection (CLABSI)**

Notes/Recommendations: [Click here to enter text.](#)

#### **E. Prevention of Ventilator-associated Event (VAE)**

|   |
|---|
| <b>E. Prevention of Ventilator-associated Event (VAE)</b> |
|---|

|  |
|--|
| Notes/Recommendations: <a href="#">Click here to enter text.</a> |
|--|

|                            |
|----------------------------|
| <b>F. Injection Safety</b> |
|----------------------------|

|  |
|--|
| Notes/Recommendations: <a href="#">Click here to enter text.</a> |
|--|

|   |
|---|
| <b>G. Prevention of Surgical Site Infection</b> |
|---|

|  |
|--|
| Notes/Recommendations: <a href="#">Click here to enter text.</a> |
|--|

|  |
|--|
| <b>H. Prevention of <i>Clostridium difficile</i> Infection (CDI)</b> |
|--|

|  |
|--|
| Notes/Recommendations: <a href="#">Click here to enter text.</a> |
|--|

|                                  |
|----------------------------------|
| <b>I. Environmental Cleaning</b> |
|----------------------------------|

|  |
|--|
| Notes/Recommendations: <a href="#">Click here to enter text.</a> |
|--|

|                               |
|-------------------------------|
| <b>J. Device Reprocessing</b> |
|-------------------------------|

|  |
|--|
| Notes/Recommendations: <a href="#">Click here to enter text.</a> |
|--|

|  |
|--|
| <b>III. Systems for Healthcare Associated Infections and Multidrug-Resistant Organisms (MDROs)</b> |
|--|



**III. Systems for Healthcare Associated Infections and Multidrug-Resistant Organisms (MDROs)**

Notes/Recommendations: [Click here to enter text.](#)

**Follow Up Activities:**

- Repeat on-site assessment planned (date: [Click here to enter a date.](#))
- Repeat remote (phone/email) assessment planned (date: [Click here to enter a date.](#))
- Other (specify): [Click here to enter text.](#)

**Other Comments:**

[Click here to enter text.](#)

Click to hide/collapse summary tables  
that are unchecked below  
(Please allow one minute to complete)

- IC Program and Infrastructure  Hand Hygiene
- PPERE  CAUTI  CLABSI  VAE  Injection Safety
- SSI  CDI  Environmental Cleaning
- Device Reprocessing  MDRO